



UNITED STATES PATENT AND TRADEMARK OFFICE

DEC 07 2001

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	INVENTOR FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/708,427	11/09/2000	Nickolai Alexandrov	2750-1243P

CONFIRMATION NO. 1970

2292
BIRCH STEWART KOLASCH & BIRCH
PO BOX 747
FALLS CHURCH, VA 22040-0747

FORMALITIES LETTER



OC000000006051770

Date Mailed: 05/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

12/10/2001 HVUONG1 00000080 022448 09708427

01 FC:205

65.00 CH

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

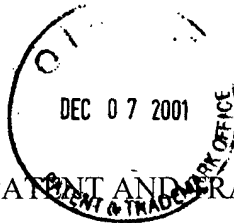
A handwritten signature in cursive script, appearing to read "BAS", is positioned above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SECTOR
#1
CD-RAM



BOX MISSING PARTS
PATENT
2750-1243P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Nickolai ALEXANDROV et al. Conf: 1970
Appl. No.: 09/708,427 Group: UNASSIGNED
Filed: November 9, 2000 Examiner: UNASSIGNED
For: SEQUENCE-DETERMINED DNA FRAGMENTS AND
CORRESPONDING POLYPEPTIDES ENCODED
THEREBY

THIS IS NOT A NEW PATENT APPLICATION
LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 37 C.F.R. § 1.53(f) and/or § 1.53(d)

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

December 7, 2001

Sir:

The application papers for the above-identified application were originally filed on November 9, 2000 and the application was assigned Appl. No. 09/708,427.

DOCUMENTATION

- ☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration and Power of Attorney of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.
- ☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on November 9, 2000, including any amendments thereto (if applicable) filed on even date therewith.

04/15/2002 YGIZAM 00000004 501055 09708427

01 FC:228 520.00 CH

12/10/2001 HUJUNG1 00000080 022448 09708427
02 FC:217 265.00 CH

- ☒ The undersigned hereby declares that "Attorney Docket No. 2750-1243P on page 1 of the inventors' Declaration and Power of Attorney, filed on December 7, 2001, corresponds to Appl. No. 09/708,427, filed November 9, 2000, entitled 'SEQUENCE-DETERMINED DNA FRAGMENTS AND CORRESPONDING POLYPEPTIDES ENCODED THEREBY.'"
- ☐ Attached are _____ () sheet(s) of formal drawings.
- ☒ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.
- ☐ Attached is an English language translation of the above-identified application that was filed in a foreign language.
- ☐ See the attached Translator's Verification; or
- ☐ The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.
- ☐ Attached hereto is a Statement Claiming Small Entity Status (☐ original ☐ photocopy).
- ☐ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

FEES

The Government Filing Surcharge(s) (37 C.F.R. § 1.16(e) and/or § 1.17(k)) and the basic Government Filing Fee(s) (37 C.F.R. § 1.16(a)-(d)) (if applicable) is/are paid by deposit account and calculated as follows:


- ☐ Basic Filing Fee(s): \$0.00 (37 C.F.R. § 1.16(a)-(d))
- ☒ The Government Filing Surcharge under 35 U.S.C. § 1.16(e)) for late filing of filing fee, oath and/or declaration:
- ☐ Large Entity - \$130.00
- ☒ Small Entity - \$ 65.00)
- ☐ The Government Filing Surcharge under 37 C.F.R. § 1.17(k)) for filing of translation of non-English Specification in the amount of \$130.00:
- ☐ was previously paid for concurrently with the filing of the application on
- ☐ is paid by deposit account.

- ☒ A two (2) month extension of time was previously petitioned for on August 23, 2001. The required extension fee of \$195.00 was paid by deposit account No. 50-1055.
- ☒ Applicant hereby respectfully petitions for an additional three (3) month extension of time until December 8, 2001 for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$460.00 is paid by deposit account.
- ☐ A check in the amount of \$0.00 to cover the basic filing fee(s), surcharge fee(s), and any extension of time fees (if applicable) is enclosed.
- ☒ Please charge Deposit Account No. 50-1055 in the amount of \$525.00. A triplicate copy of this transmittal form is enclosed.

If the Primary Deposit Account No. 50-1055 is deficient and non-payment will result in a loss of rights, the Commissioner is hereby authorized in this, concurrent and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

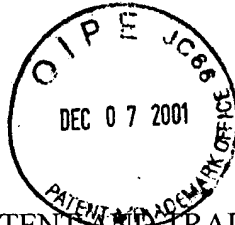
BIRCH, STEWART, KOLASCH & BIRCH, LLP

By  #36,623
Raymond C. Stewart, #21,066

RCS/DRN/BCF
2750-1243P

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

Attachments



BOX SEQUENCE
PATENT
2750-1243P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Nickolai ALEXANDROV et al. Conf: 1970
Appl. No.: 09/708,427 Group: UNASSIGNED
Filed: November 9, 2000 Examiner: UNASSIGNED
For: SEQUENCE-DETERMINED DNA FRAGMENTS AND
CORRESPONDING POLYPEPTIDES ENCODED
THEREBY

SMALL ENTITY TRANSMITTAL FORM

Assistant Commissioner for Patents
Washington, DC 20231

December 7, 2001

Sir:

Transmitted herewith is an amendment in the above-identified application.

A Verified Statement Claiming Small Entity Status

☐ is submitted herewith (☐ Original ☐ Photocopy).

☒ was previously submitted on November 9, 2000.

☐ The enclosed document is being transmitted via the Certificate of Mailing provisions of 37 C.F.R. § 1.8.

☐ The enclosed document is being transmitted via facsimile.

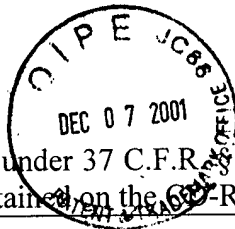
The following items are additionally transmitted herewith along with the amendment:

☒ Two (2) identical CD-Rs, as required by §1.52(e)(4), created using IBM-PC, MS-Windows operating system. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
001018 Amended Protein Domain Table.txt	December 6, 2001	2.10 MB
2750-1243P.ST25.txt	December 6, 2001	189 MB

☒ One (1) CD-R, as required by §1.821(e), containing the Computer Readable Form (CRF) of the Sequence Listing created using IBM-PC, MS-Windows operating system. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
2750-1243P.ST25.txt	December 6, 2001	189 MB



- ☒ One (1) CD-R, as required under 37 C.F.R. § 1.121, containing a marked-up copy of the amended file. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
001018 Marked-Up Protein Domain Table.txt	December 6, 2001	2.12 MB

- ☒ Copy of Notice to File Missing Parts

The fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL	50	-	50	=	0	\$ 9	\$0.00
INDEPENDENT	5	-	5	=	0	\$ 40	\$0.00
___ FIRST PRESENTATION OF A MULTIPLE CLAIM						\$135	\$0.00
						TOTAL	\$0.00

- ☒ A two (2) month extension of time was previously petitioned for on August 23, 2001. The required extension fee of \$195.00 was paid by deposit account No. 50-1055.
- ☒ A further three (3) month(s) extension of time to December 8, 2001 for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17 has been petitioned for and the applicable fees have been paid in the accompanying response to the Notice to File Missing Parts filed on December 7, 2001 for the present application number.

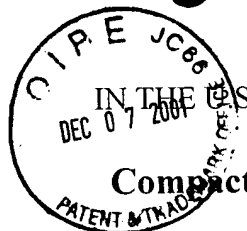
If the Primary Deposit Account No. 50-1055 is deficient and non-payment will result in a loss of rights, the Commissioner is hereby authorized in this, concurrent and future replies, to charge payment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By *Raymond C. Stewart* #36,623
 Raymond C. Stewart, #21,066
 P.O. Box 747
 Falls Church, VA 22040-0747
 (703) 205-8000

RCS/DRN/BCF
 2750-1243P
 Attachments



Compact Disk TRANSMITTAL LETTER

Applicant: Nickolai ALEXANDROV et al. Conf: 1970
Appl. No.: 09/708,427 Group: UNASSIGNED
Filed: November 9, 2000 Examiner: UNASSIGNED
For: SEQUENCE-DETERMINED DNA FRAGMENTS AND CORRESPONDING
POLYPEPTIDES ENCODED THEREBY

The application identified above contains two (2) identical CD-Rs created using IBM-PC, MS-Windows operating system. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
001018 Amended Protein Domain Table.txt	December 6, 2001	2.10 MB
2750-1243P.ST25.txt	December 6, 2001	189 MB

The application also contains one (1) CD-R, as required by §1.821(e), containing the Computer Readable Form (CRF) of the Sequence Listing created using IBM-PC, MS-Windows operating system. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
2750-1243P.ST25.txt	December 6, 2001	189 MB

Additionally, the application also contains one(1) CD-R, as required under 37 C.F.R. §1.121, containing a marked-up copy of the amended file. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
001018 Marked-Up Protein Domain Table.txt	December 6, 2001	2.12 MB

If there are any problems concerning the Compact Disk Submission, please contact the undersigned at telephone number 703-205-8000 or by facsimile at 703-205-8050.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Raymond C. Stewart #36,623 Date: 12/07/2001
Raymond C. Stewart, #21,066
P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

RCS/DRN/BCF
2750-1243P
Attachments